

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
04558.077001

In Re Application Of: Kazutaka UCHITOMI, et al.

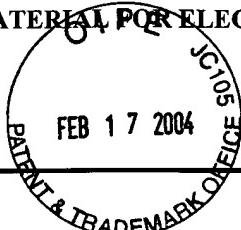
Serial No.  
10/717,772

Filing Date  
11/20/2003

Examiner

Group Art Unit

Title: ACTIVE MATERIAL FOR ELECTRODE AND NON-AQUEOUS SECONDARY BATTERY USING  
THE SAME



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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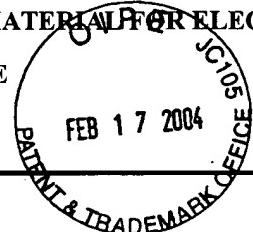
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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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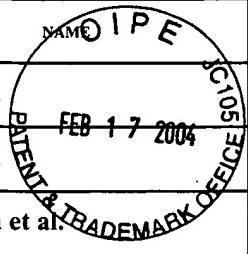
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Dated:

*2/11/04*

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>04558.077001</b>		Application Number <b>10/717,772</b>		
				Applicant(s) <b>Kazutaka UCHITOMI, et al.</b>				
				Filing Date <b>11/20/2003</b>		Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE			CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	<b>5,718,989</b>	02/17/1998			Aoki et al.	429	218
	A2	<b>5,795,558</b>	08/18/1998	Aoki et al.	423	594	10/09/1997	
	A3	<b>6,040,090</b>	03/21/2000	Sunagawa et al.	429	231.95	04/14/1998	
	A4	<b>6,551,744 B1</b>	04/22/2003	Ohzuku et al.	429	223	11/28/2000	
	A5	<b>2002/0086210 A1</b>	07/04/2002	Naruoka et al.	429	223	11/08/2001	
	A6	<b>2003/0082452 A1</b>	05/01/2003	Ueda et al.	429	231.1	11/14/2001	
<b>FOREIGN PATENT DOCUMENTS</b>								
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	NO	
A7	<b>EP 1 189 296 A2</b>	03/09/2001	Europe	H01M	4/48			
A8	<b>WO 02/40404 A1</b>	05/23/2002	Japan	C01G	53/00		✓	
A9	<b>8 - 37007</b>	02/06/1996	Japan	H01M	4/58		✓	
A10	<b>11 - 25957</b>	01/29/1999	Japan	H01M	4/02		✓	
A11	<b>2000 - 223122</b>	08/11/2000	Japan	H01M	4/58		✓	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	A12	Patent Abstracts of Japan; Publication No. 08037007 A dated 02/06/1996 (1 pg.)						
	A13	Patent Abstracts of Japan; Publication No. 2000-223122 dated 08/11/2000 (1 pg.)						
EXAMINER				DATE CONSIDERED				
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